

# Incremental Learning of Humanoid Robot Behavior from Natural Interaction & Large Language Models

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## 2 ABSTRACT

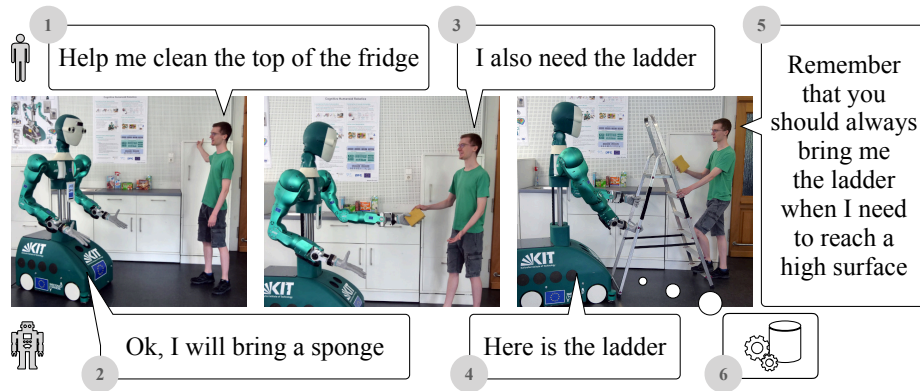
3 Natural-language dialog is key for intuitive human-robot interaction. It can be used not only to  
4 express humans' intents, but also to communicate instructions for improvement if a robot does not  
5 understand a command correctly. Of great importance is to let robots learn from such interaction  
6 experience in an incremental way to allow them to improve their behaviors or avoid mistakes in  
7 the future. In this paper, we propose a system to achieve such incremental learning of complex  
8 high-level behavior from natural interaction, and demonstrate its implementation on a humanoid  
9 robot. Our system deploys Large Language Models (LLMs) for high-level orchestration of the  
10 robot's behavior, based on the idea of enabling the LLM to generate Python statements in an  
11 interactive console to invoke both robot perception and action. Human instructions, environment  
12 observations, and execution results are fed back to the LLM, thus informing the generation of  
13 the next statement. Since an LLM can misunderstand (potentially ambiguous) user instructions,  
14 we introduce incremental learning from interaction, which enables the system to learn from its  
15 mistakes. For that purpose, the LLM can call another LLM responsible for code-level improvements  
16 of the current interaction based on human feedback. Subsequently, we store the improved  
17 interaction in the robot's memory so that it can later be retrieved on semantically similar requests.  
18 We integrate the system in the robot cognitive architecture of the humanoid robot ARMAR-6  
19 and evaluate our methods both quantitatively (in simulation) and qualitatively (in simulation and  
20 real-world) by demonstrating generalized incrementally-learned knowledge.

21 **Keywords:** Incremental Learning, Human-Robot Interaction, Cognitive Modeling, Knowledge Representation for Robots, Humanoid  
22 Robots, Large Language Models

23 **Content:** ≈ 7400 Words, 5 Figures, 2 Tables, 1 Listing

## 1 INTRODUCTION

24 Humans can easily communicate tasks and goals to a robot via language. Such natural language interface  
25 is key for achieving truly intuitive human-robot interaction (HRI). However, the robot's interpretation of  
26 such commands, and thus the resulting execution, might be sub-optimal, incomplete or wrong. In such  
27 cases, it is desirable for the human to give further instructions to correct or improve the robot's behavior.



**Figure 1.** ARMAR-6 incrementally learns behavior from natural interaction. Demonstration videos at <https://lbaermann.github.io/interactive-incremental-robot-behavior-learning/>

28 Furthermore, the robot should memorize the improvement strategy given by the human to incrementally  
 29 learn from them and thus avoid the same mistake in the future. For instance, consider the interaction  
 30 depicted in Fig. 1. First, the user instructs the robot to help him cleaning the top of the fridge (1). The robot  
 31 then executes several actions to hand over a sponge to the human (2). The user observes this insufficient  
 32 result and gives instructions for improvement (“I also need a ladder”) (3), whereupon the robot performs  
 33 corrective actions (4). If the desired goal is achieved, the user can reconfirm the correction (5), which leads  
 34 to the robot updating its memory appropriately (6), thus incrementally learning new behavior based on  
 35 language instructions.

36 In this paper, we present a system to achieve such behavior and describe its implementation on the  
 37 humanoid robot ARMAR-6 (Asfour et al., 2018). We build on the capabilities of Large Language  
 38 Models (LLMs) (Brown et al., 2020; Touvron et al., 2023; OpenAI, 2023a,b) emerging from massive-scale  
 39 next token prediction pretraining, and aim to transfer their success to HRI. The goal is to utilize the rich  
 40 world knowledge contained in LLMs for embodied natural-language dialog, thus enhancing the capabilities  
 41 of the LLM by integrating robot perception and action. In the cognitive architecture of our humanoid  
 42 robot (Peller-Konrad et al., 2023), this means the LLM will be in charge of the high-level planning  
 43 and decision-making. Recent works like SayCan (Ahn et al., 2022) and Code as Policies (CaP) (Liang  
 44 et al., 2023) already demonstrate the usefulness of applying LLMs to orchestrate robot abilities, enabling  
 45 high-level task understanding, planning and generalization. Going a step further, inner monologue (Huang  
 46 et al., 2022b) feeds back execution results and observations into the LLM, thus involving the LLM in a  
 47 closed-loop interaction.

48 Inspired by these works, we propose to utilize the code-writing capabilities of LLMs to directly integrate  
 49 it into closed-loop orchestration of a humanoid robot. This is achieved by simulating an interactive (Python)  
 50 console in the prompt, and letting the LLM produce the next statement given the previous execution history,  
 51 including results returned or exceptions thrown by previous function calls. Thus, the LLM can dynamically  
 52 respond to unexpected situations such as execution errors or wrong assumptions, while still leveraging the  
 53 power of code-based interaction such as storing results in intermediate variables or defining new functions.

54 For utilizing the few- and zero-shot capabilities of LLMs, it is crucial to design a (set of) prompts to  
 55 properly bias the LLM towards the desired output. All of the above works use a predefined, manually  
 56 written set of prompts tuned for their respective use case. However, no LLM or prompting scheme will  
 57 always interpret each user instruction correctly, especially since natural language can be ambiguous  
 58 and correct execution might depend on user preferences. Therefore, we propose a novel, self-extending

59 prompting method to allow incremental learning of new and adaptation of existing high-level behaviors. To  
60 this end, our system dynamically constructs prompts based on a set of interaction examples, populated  
61 from the robot's prior knowledge and previously learned behavior. Given a user instruction, we rank all  
62 such interaction examples by semantic similarity to the input, and select the top- $k$  entries to construct the  
63 actual prompt to the LLM. Crucially, the robot's prior knowledge contains specific examples involving  
64 the user complaining about mistakes and correcting the robot, or instructing it on how to improve its  
65 behavior. Therefore, when the system fails to correctly execute a task and the user gives such corrective  
66 instructions, the LLM is biased to invoke code that inspects the current execution history and forwards it to  
67 another, few-shot-prompted LLM. This LLM can inspect the complete interaction including all user inputs,  
68 performed actions and observed results, represented as the transcript of an interactive Python console. It  
69 then spots the mistakes and produces an improved interaction using chain-of-thought (CoT) prompting (Wei  
70 et al., 2022). Finally, the improved transcript will be added to the interaction examples, thus enabling the  
71 system to perform better the next time a similar task is requested.

72 Our method is explained in detail in Section 3. We evaluate our system quantitatively on the scenarios  
73 defined in CaP (Liang et al., 2023) to show the effectiveness of our proposed approach in Section 4.  
74 Furthermore, Section 5 demonstrates the capabilities of incremental learning from natural-language  
75 interaction on a real-world humanoid robot. Our code can be found at [https://github.com/lbaermann/  
76 interactive-incremental-robot-behavior-learning](https://github.com/lbaermann/interactive-incremental-robot-behavior-learning).

## 2 RELATED WORK

77 We start with reviewing works on understanding and learning from natural language in robotics.  
78 Subsequently, we present works using LLMs for high-level orchestration of robot abilities. Finally, we  
79 focus on dynamic creation of prompts for LLMs.

### 80 2.1 Understanding and Learning from Natural Language

81 Understanding and performing tasks specified in natural language has been a long-standing challenge in  
82 robotics (Tellex et al., 2020). Of great challenge is *grounding* the words of natural language sentences in  
83 the robot's perception and action, which is known as *signal-to-symbol gap* (Krüger et al., 2011). Many  
84 works have focused on the grounding of expressions referring to objects, places and robot actions based  
85 on graphical models (Tellex et al., 2011; Misra et al., 2016), language generation (Forbes et al., 2015),  
86 or spatial relations (Guadarrama et al., 2013), especially for ambiguity resolution (Fasola and Matarić,  
87 2013; Shridhar et al., 2020). Pramanick et al. (2020) focus on resolving task dependencies to generate  
88 execution plans from complex instructions. However, in these works the robot does not explicitly learn  
89 from language-based interactions. In contrast, Walter et al. (2013) enrich the robot's semantic environment  
90 map from language, and Bao et al. (2016) syntactically parse daily human instructions to learn attributes of  
91 new objects. In Kartmann and Asfour (2023), the robot asks for a demonstration if its current understanding  
92 of a spatial relation is insufficient to perform a given instruction. Other works go further by learning on  
93 the task level. Mohan and Laird (2014) learn symbolic task representations from language interaction  
94 using Explanation-based learning. Nicolescu et al. (2019) learn executable task representations encoding  
95 sequential, non-ordering or alternative paths of execution from verbal instructions for interactive teaching  
96 by demonstration. Weigelt et al. (2020) consider the general problem of programming new functions on  
97 code level via natural language. While our goal is similar to these works, we leverage LLMs for task-level  
98 reasoning and learning.

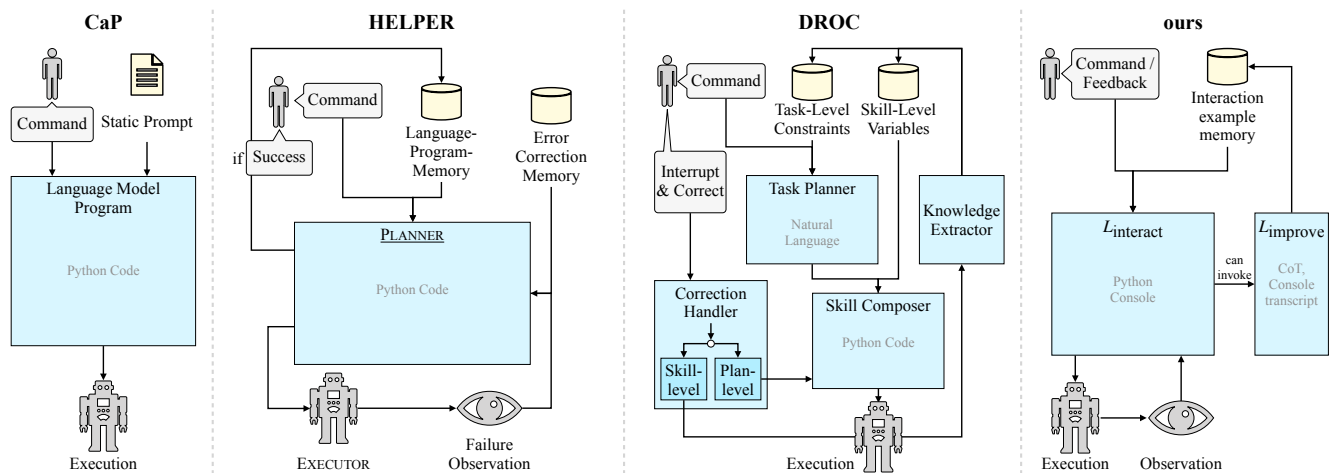
## 99 2.2 Code-Generation and Interaction with LLMs

100 Generating code from natural language specifications is a large area of active research. For instance,  
101 LLMs tuned specifically on code (Chen et al., 2021; Nijkamp et al., 2023) perform well in common  
102 code-generation benchmarks. Madaan et al. (2022b) show that code-based models have more structured  
103 representations, thus aiding structured (e.g. graph-based) tasks. Training code-LLMs can also benefit from  
104 using an interpreter in the optimization loop (Le et al., 2022; Haluptzok et al., 2023). We refer the reader to  
105 recent surveys (Zheng et al., 2024; Ahmed et al., 2023; Dehaerne et al., 2022; Wang and Chen, 2023) for a  
106 more in-depth discussion.

107 Another recent trend is to use LLMs in an interactive, chat-style format. This became popular through  
108 OpenAI's models (OpenAI, 2023a,b) and is typically powered by finetuning on alignment data using  
109 reinforcement learning from human feedback (Ouyang et al., 2022). In a code-based setting, such interaction  
110 can, for instance, assist software development (Lahiri et al., 2023; Google, 2023). Further, many recent  
111 works utilize interactive coding strategies to deploy LLMs as agents (Yang et al., 2024). For instance,  
112 Voyager (Wang et al., 2024a) iteratively learns to master the game of Minecraft by letting an LLM code  
113 functions, and InterCode (Yang et al., 2023) connects an LLM to a Bash shell to solve file system task,  
114 similar to our use of an interactive Python console. Recent benchmarks (Liu et al., 2024; Wang et al.,  
115 2024b) will further catalyze this development. We deploy such interactive coding strategy to real-world  
116 humanoid robotics, and enrich it with incremental learning from natural interactions.

## 117 2.3 Orchestrating Robot Behavior with LLMs

118 Recently, many works extend the capabilities of LLMs by giving them access to external models, tools  
119 and APIs (Mialon et al., 2023; Parisi et al., 2022; Qin et al., 2023; Wang et al., 2023). Tool usage can also  
120 be combined with reasoning techniques such as CoT prompting (Wei et al., 2022) to significantly improve  
121 planning (Yao et al., 2023). In particular, orchestrating robot behavior and thus interacting with the physical  
122 environment can be seen as an embodied special case of LLM tool usage. Huang et al. (2022a) initially  
123 proposed the idea to utilize world knowledge from LLM pretraining to map high-level tasks to executable  
124 mid-level action sequences. SayCan (Ahn et al., 2022) fuses LLM output probabilities with pretrained  
125 affordance functions to choose a feasible plan given a natural language command. Socratic Models (Zeng  
126 et al., 2023) combine visual and textual LLMs to generate instructions in the form of API calls, which  
127 are then executed by a pretrained language-conditioned robot policy. Both Code as Policies (CaP) (Liang  
128 et al., 2023) and ProgPrompt (Singh et al., 2023) demonstrate the usefulness of a code-generating LLM  
129 for robot orchestration, as they convert user commands to (optionally, recursively defined) policy code  
130 grounded in predefined atomic API calls. While the generated policies can react to the robot's perception,  
131 these approaches do not directly involve the LLM in the online execution of a multi-step task after the  
132 policy has been generated. In contrast, Inner Monologue (Huang et al., 2022b) feeds back execution results  
133 and observations into the LLM, but does not rely on code-writing, thus missing its combinatorial power.  
134 KnowNo (Ren et al., 2023) iteratively asks the LLM for a set of possible next steps, determines the LLM's  
135 confidence in each possibility using its output token distribution in a multiple-choice setup, and then  
136 uses conformal prediction to decide whether the system is sure how to proceed or should ask the user  
137 for help. AutoGPT+P (Birr et al., 2024) combines an LLM with a symbolic planner. Recent technical  
138 reports (Vemprala et al., 2023; Wake et al., 2023) provide guidance on utilizing ChatGPT (OpenAI, 2023a)  
139 for robot orchestration. While TidyBot (Wu et al., 2023) uses GPT-3 (Brown et al., 2020) in a similar  
140 way to generate high-level plans for tidying up a cluttered real-world environment, the authors focus on  
141 personalization by summarizing and thereby generalizing individual object placement rules.



**Figure 2.** Comparison of Code as Policies (Liang et al., 2023), HELPER (Sarch et al., 2023), DROC (Zha et al., 2023) and our method, focusing on information flow from user input, observations, prompts, memories to LLM modules to robot execution, and how the methods learn from user interactions. Building on the interactive Python console prompting scheme, our method realizes incremental learning from natural interaction in a conceptually simple way.

142 With our proposed emulated Python console prompting, we differ from these existing works by  
 143 (i) formatting and interpreting all interaction with the LLM as Python code, in contrast to (Ahn et al., 2022;  
 144 Huang et al., 2022b), (ii) closing the interaction loop by enabling the LLM to reason about each perception  
 145 and action outcome, in contrast to (Liang et al., 2023; Singh et al., 2023; Wake et al., 2023; Zeng et al.,  
 146 2023; Ahn et al., 2022), (iii) allowing the LLM to decide when and which perception primitives to invoke,  
 147 instead of providing a predefined list of observations (usually a list of objects in the scene) as part of the  
 148 prompt as in (Zeng et al., 2023; Huang et al., 2022b; Singh et al., 2023; Liang et al., 2023; Wu et al., 2023),  
 149 and (iv) simplifying the task for the LLM by allowing it to generate one statement at a time, in contrast  
 150 to (Liang et al., 2023; Singh et al., 2023; Vemprala et al., 2023).

## 151 2.4 Dynamic Prompt Creation

152 When prompting an LLM to perform a task, quality and relevance of the provided few-shot examples are  
 153 key to the performance of the system. Thus, several works propose to dynamically select these examples  
 154 (e. g., from a larger training set) for constructing a useful prompt. Liu et al. (2022) improve performance in a  
 155 downstream question-answering (QA) task by selecting relevant few-shot samples via  $k$ -Nearest-Neighbor  
 156 search in a latent space of pretrained sentence embeddings (Reimers and Gurevych, 2019) representing  
 157 the questions. Ye et al. (2023) select not only the most similar, but also a diverse set of samples. Luo et al.  
 158 (2023) show that this dynamic prompt construction is also applicable for instruction-fine-tuned language  
 159 models (LMs) (Ouyang et al., 2022) and in combination with CoT prompting. Song et al. (2023) use top- $k$   
 160 retrieval for instructing an LLM to plan robotic tasks. Similar to that approach, we apply vector embeddings  
 161 of human utterances to find the top- $k$  examples which are most similar to the current situation.

162 Other works go further by proposing to update the database of examples by user interaction. In Madaan  
 163 et al. (2022a), GPT-3 is tasked with solving lexical and semantic natural language processing questions  
 164 few-shot by generating both an understanding of the question as well as the answer. A user can then correct  
 165 an erroneous understanding to improve the answer, and such correction is stored in a lookup table for later  
 166 retrieval on similar queries. Similarly, user feedback can be used to improve open-ended QA by generating  
 167 an entailment chain along with the answer, and allowing the user to then correct false model beliefs in that

168 entailment chain (Dalvi Mishra et al., 2022). Corrections are stored in memory and later retrieved based on  
 169 their distance to a novel question.

170 In our work, we also propose to store corrective user feedback as interaction examples in the robot's  
 171 memory. However, we go even further by (i) letting the LLM decide when such feedback is relevant  
 172 (by invoking a certain function), (ii) generating new examples of improved behavior from the human's  
 173 feedback and thus (iii) treating prior knowledge and instructed behavior in a uniform way by treating  
 174 both as interaction examples in the robot's memory. The authors of (Vemprala et al., 2023) mention that  
 175 ChatGPT can be used to change code based on high-level user feedback. However, they do not combine  
 176 this with incremental learning to persist the improved behavior.

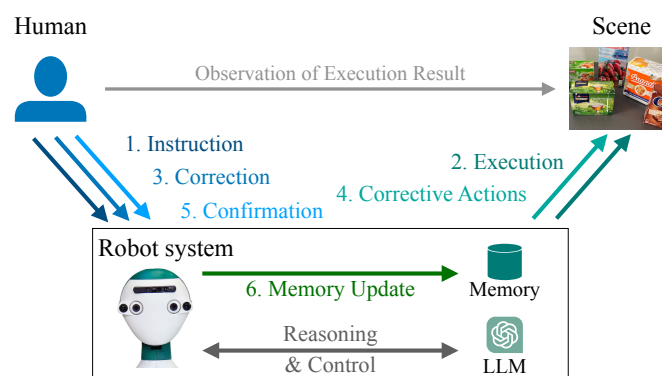
177 Closest to our approach are the concurrent works DROC (Zha et al., 2023) and HELPER (Sarch et al.,  
 178 2023), shown in Fig. 2. Similar to our learning from interaction, DROC (Zha et al., 2023) distills knowledge  
 179 from problematic interactions and retrieves it later when solving new tasks. While the goal and problem  
 180 setting are similar, we differ by formulating the complete interaction in code, instead of separating task-  
 181 level and skill-level into natural-language- and code-level interaction, respectively, and also generalizing  
 182 incremental learning as code manipulation, instead of explicitly memorizing task-level natural language  
 183 constraints and skill-level variable assignments separately. HELPER (Sarch et al., 2023) retrieves few-shot  
 184 examples for the LLM's prompt from a language-program memory similar to our interaction examples  
 185 memory, and learns personalized robot behavior by extending the memory. In contrast to our approach,  
 186 they add examples only from successful episodes, and they have separate mechanisms for normal behavior  
 187 and error correction. We focus on learning from feedback in erroneous or suboptimal episodes, and we  
 188 treat initial and follow-up instructions uniformly using the proposed Python console prompting.

### 3 APPROACH

189 In this section, we more precisely formulate the considered problem and explain our approach to intuitive  
 190 HRI and incremental learning of humanoid robot behavior using LLMs.

#### 191 3.1 Problem Formulation and Concept

192 In this work, we consider the problem of enabling a robot to interact with a human in natural language  
 193 as depicted in Fig. 3. First, the human gives a natural language instruction to the robot. Then, the robot  
 194 interprets the instruction and performs a sequence of actions. However, the performed actions might be  
 195 sub-optimal, incomplete or wrong. In that case, the human instructs the robot how to improve or correct its



**Figure 3.** Incremental learning of robot behavior from interaction

196 behavior. The robot executes further actions accordingly, and if the human is satisfied with the result, they  
 197 can confirm that the robot should memorize this behavior. Finally, the robot must incrementally learn from  
 198 the corrective instructions and avoid similar mistakes in the future.

199 We formulate this problem as follows. Consider a robot with a set of functions  $\mathcal{F} = \{F_1, \dots, F_n\}$ . A  
 200 function can be invoked to query the robot's perception or execute certain actions. Further, let  $\mathcal{M}$  denote  
 201 knowledge of interactions and behaviors as part of the episodic memory of the robot which is initialized  
 202 by prior knowledge. Based on the initial instruction  $I_0$  and  $\mathcal{M}$ , the robot must perform a sequence of  
 203 function invocations  $(f_1, \dots, f_m)$ , where each invocation  $f_i$  consists of the invoked function  $F_i$  with its  
 204 corresponding parameters. Executing these invocations yields a sequence of results  $(r_1, \dots, r_m)$ . Overall,  
 205 performing the task indicated by  $I_0$  results in an *interaction history*  $\mathcal{H}$  of the form

$$\mathcal{H} = ((f_1, r_1), \dots, (f_m, r_m)) \leftarrow \text{perform}(I_0, \mathcal{M}) \quad (1)$$

206 Note that we explicitly allow executing a generated invocation right away (potentially modifying the  
 207 world state  $W$ ) and using the result to inform the generation of the subsequent invocation. Therefore, the  
 208 current history  $\mathcal{H}_t = ((f_1, r_1), \dots, (f_t, r_t))$  is available when generating the next invocation  $f_{t+1}$ , i. e., for  
 209  $t \in \{0, \dots, m-1\}$ ,

$$f_{t+1} \leftarrow \text{generate}(I_0, \mathcal{H}_t, \mathcal{M}), \quad (2)$$

$$(r_{t+1}, W_{t+1}) \leftarrow \text{execute}(f_{t+1}, W_t), \quad (3)$$

$$\mathcal{H}_{t+1} \leftarrow \mathcal{H}_t \circ ((f_{t+1}, r_{t+1})), \quad (4)$$

210 where  $\circ$  denotes sequence concatenation. In other words, invocations are generated auto-regressively by  
 211 reasoning over the memory, the instruction as well as the previous actions and their execution results.

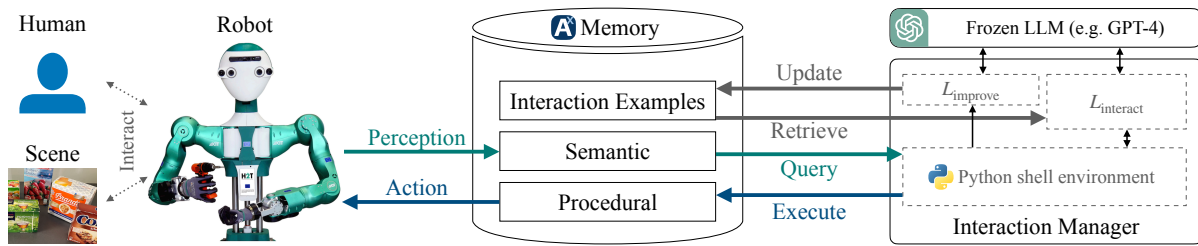
212 To unify the subsequent notation, we define the human's instructions as a special case of perception,  
 213 i. e., the system perceives them as a result of invoking the function  $F_{\text{wait}} \in \mathcal{F}$ . Using that terminology,  
 214  $\mathcal{H}_0 = ((f_{\text{wait}}, I_0))$ , and we can drop  $I_0$  as explicit parameter of `generate`. Similarly, further instructions  
 215 are handled as part of the interaction history.

216 If the human gives an instruction to correct the robot's behavior, the robot must be able to learn from this  
 217 instruction to improve its behavior in the future. We model this capability as another function  $F_{\text{learn}} \in \mathcal{F}$ .  
 218 Its purpose is to update the robot's interaction knowledge  $\mathcal{M}$  to learn from the corrective instructions and  
 219 avoid the mistake in the future

$$\mathcal{M} \leftarrow \text{learn\_from\_interaction}(\mathcal{M}, \mathcal{H}_t) \quad (5)$$

220 where  $\mathcal{H}_t$  is the interaction history when  $F_{\text{learn}}$  is called.

221 To address this problem, we propose a system as depicted in Fig. 4. A humanoid robot is interacting  
 222 with a human and the scene. The robot is equipped with a multimodal memory system containing the  
 223 following information about the current scene: First, semantic knowledge about objects, locations, agents  
 224 and their relations in the world. Second, additional subsymbolic knowledge about the current scene. Third,  
 225 executable skills (in our case implemented through scripted policies) as part of the robots procedural  
 226 memory. An execution request sent to the procedural memory triggers physical robot actions. The set of  
 227 available functions  $\mathcal{F}$  contains methods to query knowledge from the semantic memory and to trigger  
 228 actions from the procedural memory. Finally, as part of the robots episodic memory,  $\mathcal{M}$  contains interaction



**Figure 4.** Conceptual view of our system. Here, the robot’s memory system (Peller-Konrad et al., 2023) works as a mediator between the interaction manager and the robots low-level system components, such as controllers, sensors and drivers. The interaction LLM acts in a Python console environment. It can invoke functions to fetch the content of the current scene (as given by perception modules and stored in the memory) or invoke skills and thus perform robot actions. Relevant interaction examples are queried from the memory for few-shot prompting of the LLM. Incremental learning is performed by an improvement LLM updating the interaction examples memory with new content learned from instruction.

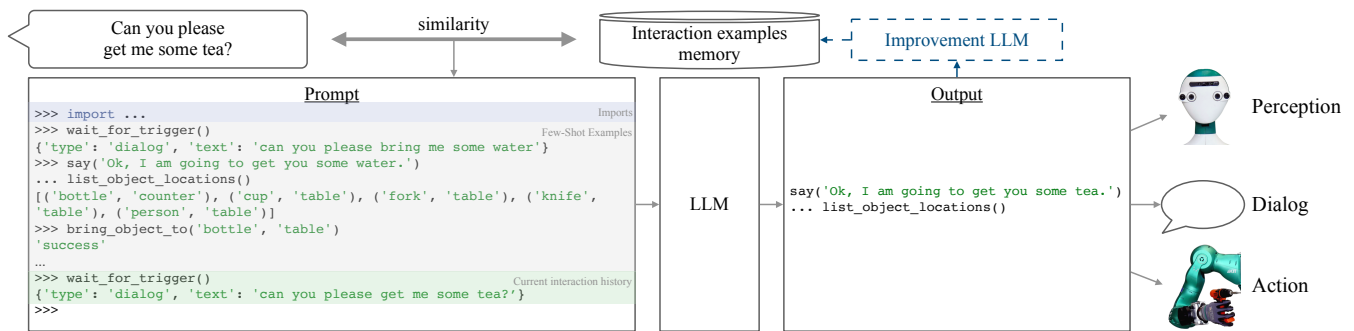
229 histories  $\mathcal{H}$ , i. e., short episodes of interactions between the human and the robot, including the natural  
 230 language inputs, the actions executed by the robot, and their results.

231 The *interaction manager* is responsible for the high-level orchestration of the robot’s abilities. It has  
 232 access to two instances of LLMs, an *interaction LLM*  $L_{\text{interact}}$  and an *improvement LLM*  $L_{\text{improve}}$ , as well  
 233 as a Python console environment  $E$  to execute generated function invocations. Utilizing  $E$ , we uniformly  
 234 represent all  $\mathcal{H} \in \mathcal{M}$  as well as  $\mathcal{H}_t$  as a textual Python console transcript, i. e., a sequence of function  
 235 invocations  $f_i$  represented as Python statement and return values  $r_i$  converted to text using Python’s “repr”  
 236 function.  $L_{\text{interact}}$  is prompted by the interaction manager with the available functions  $\mathcal{F}$ , the current  
 237 interaction history  $\mathcal{H}_t$ , as well as relevant few-shot examples retrieved from  $\mathcal{M}$ , and generates function  
 238 invocations  $f$ . Following the notation of Eqs. (2) and (3), the function generate is implemented through  
 239  $L_{\text{interact}}$ , while the function execute is provided by  $E$ . By generating an invocation of  $F_{\text{learn}} \in \mathcal{F}$ ,  $L_{\text{interact}}$   
 240 can trigger Eq. (5). We implement the function learn\_from\_interaction by few-shot prompting  $L_{\text{improve}}$ . It  
 241 reasons over  $\mathcal{H}_t$  and generates an improved version of the interaction, which is then saved to the memory  
 242  $\mathcal{M}$ .

## 243 3.2 Procedure Overview

244 To start, we populate the memory  $\mathcal{M}$  with both prior knowledge (i. e., predefined interaction examples)  
 245 and previously learned interaction examples. The interaction manager sets up  $E$  including  $\mathcal{F}$ , and then  
 246 invokes an initial  $F_{\text{wait}} = \text{“wait\_for\_trigger()”}$  inside that environment. This call waits for dialog  
 247 input and returns when the human gives an initial instruction. The interaction manager handles any function  
 248 return value by inserting its textual representation into the current interaction history, thus extending  $\mathcal{H}_t$ .  
 249 Thereby, it emulates the look of a Python console (Section 3.3). In the following, a prompt is constructed  
 250 (Section 3.4) based on  $\mathcal{F}$ , the most relevant examples from  $\mathcal{M}$ , and  $\mathcal{H}_t$ . This prompt is passed to  $L_{\text{interact}}$   
 251 to produce the next command(s). The generated code is executed within  $E$ , and both the code and its  
 252 return values are again inserted into  $\mathcal{H}_t$ . The interaction manager repeats this process as the high-level  
 253 behavior-driving loop of the robot (see Fig. 5). Note that  $L_{\text{interact}}$  can listen to further user utterances  
 254 by generating “wait\_for\_trigger()” again. Our proposed prompt-based incremental learning strategy  
 255 (Section 3.5) is also invoked by  $L_{\text{interact}}$  itself when it calls  $F_{\text{learn}} = \text{“learn\_from\_interaction()”}$ .





**Figure 5.** Overview of our method for incremental learning of robot behavior. We use an LLM (in our experiments, GPT-4 (OpenAI, 2023b)) to control robot perception and action given a prompt of few-shot examples (bottom, Section 3.3). Prompts are constructed dynamically based on the similarity to the current user request (top left, Section 3.4). The interaction examples memory is initialized with prior knowledge, and then incrementally enriched by LLM-improved problematic interactions to learn from mistakes (top right, Section 3.5).

### 256 3.3 LLM interacting with an Emulated Python Console

257 The left of Fig. 5 shows an interaction example using our proposed prompting scheme emulating a  
 258 Python console. All commands entered into the emulated console (lines starting with “>>>” or “. . .”)  
 259 are to be generated by the LLM, while the function return values are inserted below each invocation. The  
 260 proposed syntax enables a closed interaction loop so that the LLM can dynamically react to unexpected  
 261 situations and errors, while also keeping the flexibility of coding non-trivial statements. We achieve this  
 262 by setting “>>>” to be the stop token when prompting the LLM. This means that the LLM can generate  
 263 continuation statements (including control flow and function definitions) by starting a new line with “. . .”.  
 264 Since generation stops at the beginning of the next statement, the LLM’s output will also include the  
 265 expected outcome of its own command, which we discard for the scope of this work.

266 During our experiments, we observed that it is important for functions to provide semantically rich error  
 267 messages, including hints on how to improve. This leads to self-correcting behavior (Skreta et al., 2023).  
 268 For instance, when calling “move\_to” with an invalid or underspecified location such as “counter,”  
 269 we pass the error message “Invalid location. Use one of the locations returned by  
 270 list\_locations()” to the LLM. In this example, the error message guides the LLM to query a list  
 271 of possible locations which are then used to correctly ground the natural language request to the name  
 272 “inFrontOf\_mobile-kitchen-counter\_0” that the “move\_to” function understands.

273 Analogously to Code as Policies (Liang et al., 2023), we dynamically generate non-existing functions  
 274 the LLM tries to use. Specifically, when  $L_{interact}$  generates code that refers to an undefined function, we  
 275 invoke another LLM  $L_{fgen}$  that is prompted to define the function, given the line of code that is using it  
 276 as context. For  $L_{fgen}$ , we exactly follow the method of Liang et al. (2023), including recursive function  
 277 generation. The generated function is then inserted into the emulated Python console *before* the statement  
 278 that referred to the undefined function, and then that statement is executed. The purpose of inserting the  
 279 function definition into the execution history is that it is thereby accessible to user feedback and can be  
 280 improved upon by incremental learning.

### 281 3.4 Dynamic Prompt Construction

282 We dynamically construct the prompt for  $L_{\text{interact}}$  depending on the current interaction history  $\mathcal{H}_t$  (i. e.,  
 283 the code statements, execution results and user inputs observed so far). We start with some predefined  
 284 base prompt, stating the general task and “importing” all defined names and functions. These imports are  
 285 generated dynamically given the symbols defined in  $E$ , i. e., the available functions  $\mathcal{F}$ . The second part of  
 286 the prompt consists of few-shot examples. For this, we make use of a memory  $\mathcal{M}$  of coding interaction  
 287 examples, where each entry follows the Python console syntax defined in Section 3.3.  $\mathcal{M}$  is initialized  
 288 with hand-written prompts, but later extended dynamically as explained in Section 3.5. Given the current  
 289 interaction history  $\mathcal{H}_t$ , we define a similarity measure  $S(\mathcal{H}, \mathcal{H}_t)$ , see below, for each  $\mathcal{H} \in \mathcal{M}$  and choose  
 290 the top- $k$   $\mathcal{H}$  to become part of the actual prompt. Afterwards,  $\mathcal{H}_t$  itself is inserted into the prompt to provide  
 291 the LLM with the current context. Finally, the prompt is completed by inserting a syntax trigger for the  
 292 LLM to correctly generate the next command, i. e., “>>>”. An example can be seen on the left of Fig. 5.

293 To implement the similarity function  $S(\mathcal{H}, \mathcal{H}_t)$ , we assume that examples with comparable natural  
 294 language instructions are helpful. Therefore, we extract all such instructions from  $\mathcal{H}_t$  and each  $\mathcal{H} \in \mathcal{M}$ .  
 295 In our specific Python-console-based representation, this means that we search for function calls that  
 296 trigger user interaction (“ask”, “wait\_for\_trigger”), and extract their respective return values. Let  $I_t^i$   
 297 with  $i = 1, \dots, N$  denote the  $N$  most recent instructions in  $\mathcal{H}_t$  (where  $I_t^1$  is the most recent one), and  
 298  $I_{\mathcal{H}}^j$  with  $j = 1, \dots, M_{\mathcal{H}}$  all the  $M_{\mathcal{H}}$  instructions found in each  $\mathcal{H} \in \mathcal{M}$ . We make use of a pretrained  
 299 sentence embedding model (Reimers and Gurevych, 2019) to measure the semantic similarity  $\text{sim}(a, b) =$   
 300  $E(a) \cdot E(b)$  between two natural language sentences  $a, b$  by the dot product of their latent space embeddings  
 301  $E(\cdot)$ . First, we compute a latent representation of  $\mathcal{H}_t$  as

$$e_t = \sum_{i=1}^N \gamma^{i-1} E(I_t^i) \quad (6)$$

302 where  $\gamma = 0.6$  is an empirically chosen decay factor. Then, we determine a score  $\alpha_{\mathcal{H}}^j$  for each instruction  
 303  $I_{\mathcal{H}}^j$  of each history  $\mathcal{H} \in \mathcal{M}$  as given by

$$\alpha_{\mathcal{H}}^j = e_t \cdot E(I_{\mathcal{H}}^j) \quad (7)$$

304 The final similarity score is given by  $S(\mathcal{H}, \mathcal{H}_t) = \max_j \alpha_{\mathcal{H}}^j$ , and we pick the top- $k$  such  $\mathcal{H}$  as the few-shot  
 305 examples for the prompt.

### 306 3.5 Incremental Prompt Learning

307 To enable our system to learn new or improved behavior from user interaction, we propose  
 308 to make  $\mathcal{M}$  itself dynamic. For this purpose, we introduce a special function  $F_{\text{learn}} =$   
 309 “learn\_from\_interaction()”. This function is always “imported” in the base prompt, and there  
 310 are predefined code interaction examples  $\mathcal{H}_{\text{learn}} \in \mathcal{M}$  involving this call. These  $\mathcal{H}_{\text{learn}}$  will be selected  
 311 by dynamic prompt construction if semantically similar situations occur. They involve failure situations,  
 312 where the user has to tell the robot what and how to improve, and that it should do better next time. Thus,  
 313 when a mistake occurs and the user complains, these examples will be selected for the prompt and  $L_{\text{interact}}$   
 314 is biased towards invoking  $F_{\text{learn}}$ .

```

Prompt: I had the following interaction with the robot:
>>> wait_for_trigger()
{'type': 'dialog', 'text': 'I want to have some juice'}
>>> list_object_locations(affordance='grasp')
# ...
>>> move_to(get_location_coordinates('kitchen-counter_0'))
'success'
>>> grasp('multivitamin-juice_0')
'success'
>>> move_to(get_location_coordinates('handover_to_human'))
'success'
>>> say("Here is the multivitamin juice.")
>>> handover_object_to_human('multivitamin-juice_0')
'success'
>>> wait_for_trigger()
{'type': 'dialog', 'text': 'can you also bring me a cup?'}
>>> say("Ok, I will bring you a cup")
# ... [analogous to the above]
>>> wait_for_trigger()
{'type': 'dialog', 'text': 'Thanks, but next time, '
'can you do this both at once? You have two hands'}
>>> learn_from_interaction()

Prompt: What is the problem in this interaction? Answer with a single sentence.
LLM: The problem is that the robot is not utilizing both of its hands to
bring the juice and the cup at the same time, resulting in two separate trips.
Prompt: How can the robot do better next time? Answer with a single explanation sentence, no code.
LLM: Next time, the robot should use both of its hands to grasp the juice and the cup at the same time, reducing the number of trips and increasing efficiency.
Prompt: Provide an improved version of the interaction transcript. [...]
LLM:
>>> wait_for_trigger()
{'type': 'dialog', 'text': 'I want to have some juice'}
# ... [LLM lists objects & locations and moves to counter]
>>> grasp('multivitamin-juice_0', 'left') # with left hand
'success'
>>> grasp('cup_large_0', 'right') # with right hand
'success'
>>> move_to(get_location_coordinates('handover_to_human'))
'success'
>>> say("Here is the multivitamin juice and the cup.")
>>> handover_object_to_human('multivitamin-juice_0')
'success'
>>> handover_object_to_human('cup_large_0')
'success'
>>> wait_for_trigger()
{'type': 'dialog', 'text': 'Thanks, that was much quicker!'}

```

Listing 1 Example of the LLM-transcript generated by a “`learn_from_interaction()`” call. The parts starting with **LLM** are generated by the LLM, while the **Prompt** parts are fixed prompts (and the input code snippet to improve). Full prompt including few-shot examples in ??

315 To implement learning from an erroneous interaction  $\mathcal{H}_t$ , we query  $L_{\text{improve}}$  in a CoT-manner to identify  
316 and fix the problem. Specifically, we provide  $\mathcal{H}_t$  and first ask for a natural language description of the  
317 problem in this interaction. Subsequently, we request  $L_{\text{improve}}$  to explain what should be improved next  
318 time. Finally,  $L_{\text{improve}}$  is asked for an improved version  $\mathcal{H}_t^*$  of the interaction (in the given Python console  
319 syntax), and  $\mathcal{H}_t^*$  is added to the memory  $\mathcal{M}$ . That way, the next time a similar request occurs,  $\mathcal{H}_t^*$  will be  
320 selected by dynamic prompt construction, and  $L_{\text{interact}}$  is biased towards not making the same mistake  
321 again. An example LLM transcript of such  $F_{\text{learn}}$  implementation can be found in Listing 1. For robustness,  
322 there are three cases where we discard the generated  $\mathcal{H}_t^*$ : First, we ignore the call to  $F_{\text{learn}}$  if it does not  
323 follow immediately after a user utterance, since we only want to learn from explicit human feedback.  
324 Second, we abort the learning if the response to the first CoT request is that there is no problem. Third, if  
325  $\mathcal{H}_t^*$  is equal to the input interaction  $\mathcal{H}_t$ , we discard it.

## 4 SIMULATED EVALUATION

### 326 4.1 Experimental Setup

327 To quantitatively assess the performance of our method, we utilize the evaluation protocol from Code as  
328 Policies (Liang et al., 2023), involving a simulated tabletop environment with a UR5e arm and Robotiq  
329 2F85 gripper manipulating a set of blocks and bowls of ten different colors. We use their seven seen and six  
330 unseen instructions (SI/UI), where each instruction is a task with placeholders that are filled with attributes  
331 (e.g. “pick up the  $\langle block \rangle$  and place it on the  $\langle corner \rangle$ ”). The set of possible attribute values is also split  
332 into seen and unseen attributes (SA/UA). For more details, refer to Liang et al. (2023).

333 As our focus is on incremental learning from natural-language interaction, our methodology involves  
334 human supervision as follows: We first set up a randomly generated scene and pass the instruction to the  
335 evaluated system. The system generates some code that utilizes the same API as in Liang et al. (2023).  
336 Specifically, there are “perception” functions (utilizing the ground-truth simulation state) to query all object  
337 names and positions, and convert normalized to absolute coordinates, as well as one “action” function  
338 to move an object to another object or position. For details, see ?? or Liang et al. (2023). During code

339 execution, the human observes the robot's actions by watching the simulation rendering. Each run can  
340 result in success (goal reached), failure (goal not reached), error (system threw unhandled exception), or  
341 timeout (e.g. system got stuck in a loop). The latter two lead to immediate termination of the experiment.  
342 In contrast, when the system yields control normally (after code execution for CaP and on  $F_{\text{wait}}$  for our  
343 method), the resulting world state is checked using scripted ground-truth evaluation functions, leading  
344 to either success or failure outcome. The human is then presented with this outcome and has the option  
345 to provide feedback or improvement instructions to the robot, which are again passed to the system. The  
346 success detection is performed every time the system yields control, and the sequence of states and user  
347 interactions is recorded. Note that we allow user feedback even when already in success state, as the  
348 execution might still have been suboptimal and the human may want to provide feedback to learn from for  
349 next time. Details and example interactions can be found in ??.

350 Every task is repeated ten times using randomly generated scenes, and each run is performed in sequence,  
351 i.e., the interaction memory is not reset between runs in order to allow for incremental learning. To assess  
352 the results, we compute the following metrics from the execution traces:

- 353  $s$  is the turnout success rate, i.e. the percentage of runs that ended in success state (optionally after user  
354 interaction that clarifies the goal or helps the system)
- 355  $i$  is the initial success rate, i.e. the percentage of runs that yielded a successful state on the first system  
356 return, i.e. where no user interaction was required to reach success
- 357  $n$  counts the number of user interactions that were required until the success state was first reached. For  
358 runs that count into the initial success category,  $n = 0$ , while for non-successful runs,  $n$  is undefined.  
359 When aggregating  $n$ , we average only over the runs that ended successfully.

## 360 4.2 Baselines & Methods

361 **CaP:** We utilize the prompts provided by Liang et al. (2023). This is equivalent to our system without  
362 incremental learning and without the interactive console formatting. Specifically, we note that CaP has  
363 no way of feeding back coding errors to the system, i.e. it fails immediately if the generated code is  
364 syntactically invalid or throws an exception.

365 **HELPER:** We adapt the code and prompts provided by Sarch et al. (2023) to the simulated tabletop  
366 evaluation scenario & API. For few-shot example retrieval, we set  $k = 16$  for a fair comparison. Specifically,  
367 we feed back execution errors to the *Self-Reflection & Correction* prompt, and user feedback is passed as a  
368 new command to the PLANNER. HELPER's few-shot memory is expanded with successful trials. Further  
369 details can be found in ??.

370 **Dynamic CaP:** To make CaP a more competitive baseline, we add a simple form of learning and top- $k$   
371 retrieval and call this method *Dynamic CaP*. Similar to HELPER and our method, Dynamic Cap uses a  
372 memory of few-shot samples and stores code transcripts of successful episodes as new samples therein.  
373 On every request, we fill the prompt with the top- $k$  similar examples retrieved from the memory. Further  
374 implementation details can be found in ??.

375 **ours:** This is our full system with incremental learning and a value of  $k = 16$  for few-shot sample  
376 retrieval. We split and translated the 16 samples from the CaP prompts into our interactive console syntax  
377 to initialize the memory of interaction examples. Furthermore, there are two very short samples that  
378 demonstrate when to call  $F_{\text{learn}}$ .

379 **ours w/o learning:** This is our system, but without incremental learning.  $k = 16$  means that all samples  
380 are used, as the interaction examples memory is static.

381 **ours w/o retrieval:** This is our system with incremental learning but a very high value of  $k = 64$  for  
382 few-shot sample retrieval, which effectively is a system that does not use retrieval. Note that the prompt  
383 construction is still dynamic as the order of the samples is determined by the similarity to the current  
384 request (cf. Section 3.4).

385 Furthermore, we compare the differently capable LLMs `gpt-3.5-turbo-0301` and `gpt-4-0613`  
386 of the OpenAI API (OpenAI, 2023a,b). For  $L_{improve}$ , we always use `gpt-4`. We note that the original  
387 CaP numbers (Liang et al., 2023) were reported with the `codex` model (Chen et al., 2021) that is no  
388 longer available. We reproduce their experiments with the newer models but did not perform further prompt  
389 tuning, therefore our success rates for CaP are lower than those reported in (Liang et al., 2023). Specifically,  
390 `gpt-3.5` sometimes generates natural language responses instead of code, which causes CaP to fail with  
391 a `SyntaxError`.

### 392 4.3 Results

393 Table 1 and 2 present the aggregated results of our experiments, while further details can be found in ??.  
394 From these results, we draw the following main insights:

395 **Interactive feedback helps to achieve success.** For all methods,  $s$  is notably above  $i$ , which means that  
396  $L_{interact}$  effectively uses human feedback to improve its behavior. This effect is less stressed for CaP with  
397 `gpt-3.5`, as it often immediately fails with an error, thus not allowing for further interaction.

398 **Incremental learning reduces necessity of corrective interactions.** For many tasks,  $i$  is notably  
399 higher and  $n$  lower when comparing systems with learning to systems without learning, indicating that  
400 the feedback from earlier (failed) attempts is effectively utilized to improve following executions of the  
401 same task. This effect is also confirmed by ???? in the appendix. While for `gpt-4` on seen instructions,  
402 performance is already on a high level and corrections are rarely necessary, the numbers strongly support  
403 that incremental learning reduces interactions for unseen instructions, as well as for `gpt-3.5` on all  
404 instructions. Thus, our method for incremental learning is especially useful for “hard” tasks with respect to  
405 the predefined examples and general capabilities of the used model.

406 **Incremental learning improves in-task success rate.** Our systems with incremental learning also have  
407 higher  $s$  than those without learning. The reason is that our incremental learning method reflects on the  
408 erroneous behavior and generates a new sample for in-context learning that demonstrates the desired  
409 behavior. With such nearly identical demonstration, the generalization to new situations is much better,  
410 thus causing fewer errors that cannot be corrected through interaction.

411 **Incremental learning generalizes to new tasks.** Qualitatively, we observed several cases where a  
412 correction for one task is useful for another task as well. For instance, `gpt-3.5` initially interprets “the  
413 corner” as some position like  $(0.1, 0.9)$ . When instructing to “put it right into the corner without any  
414 margin”, the behavior of using full numbers, e.g.  $(0, 1)$ , transfers to subsequent different tasks that also  
415 involve corners. Quantitatively, this effect is entangled with the previous points in higher  $s$  and  $i$ , especially  
416 for the later unseen tasks. For a further investigation, see ??.

417 **Demonstration retrieval improves performance.** For both LLMs, our system with retrieval outperforms  
418 the system that always uses all samples. This is especially true for `gpt-3.5`, as the system without  
419 retrieval accumulated to many interaction examples in its memory in the final experiments, thus leading to

Test	ours						HELPER		Dyn. CaP		CaP		
	full		w/o retrieval		w/o learning		<i>s</i>	<i>i</i>	<i>s</i>	<i>i</i>	<i>s</i>	<i>i</i>	
	<i>s</i>	<i>i</i>	<i>s</i>	<i>i</i>	<i>s</i>	<i>i</i>							
GPT-4	SA SI	100	97.5	97.5	90.0	98.8	90.0	97.5	87.5	88.8	86.2	85.0	71.2
	UA SI	100	92.5	98.8	95.0	98.8	92.5	100	93.8	97.5	93.8	96.2	81.2
	UA UI	93.3	85.0	91.7	81.7	91.7	78.3	91.7	81.7	63.3	46.7	53.3	35.0
GPT-3.5	SA SI	95.0	87.5	93.8	82.5	85.0	43.8	93.8	77.5	57.5	55.0	53.8	52.5
	UA SI	97.5	86.2	96.3	88.8	80.0	45.0	87.5	71.2	65.0	57.5	60.0	58.8
	UA UI	85.0	70.0	56.7	51.7	66.7	43.3	80.0	50.0	46.7	36.7	16.7	15.0

**Table 1.** Evaluation results on simulated tabletop tasks: success rate  $s$  and initial success rate  $i$

Test	ours			HELPER	Dyn. CaP	CaP	
	full	w/o retrieval	w/o learning				
GPT-4	SA SI	0.04	0.12	0.37	0.21	0.06	0.26
	UA SI	0.14	0.12	0.1	0.1	0.07	0.35
	UA UI	0.16	0.18	0.55	0.22	0.62	0.74
GPT-3.5	SA SI	0.14	0.25	1.09	0.31	0.16	0.02
	UA SI	0.33	0.15	0.95	0.38	0.23	0.06
	UA UI	0.28	0.19	1.29	0.68	0.48	0.07

**Table 2.** Evaluation results on simulated tabletop tasks: average number of interactions until success  $n$

420 immediate failure due to exceeding the LLMs token limit. While this is not the case for `gpt-4` with its  
 421 much larger context length, the performance of the system with retrieval is still better. We hypothesize that  
 422 this is due to too many irrelevant samples distracting the LLM.

423 **Better LLMs lead to better performance.** This can be clearly seen when comparing the numbers  
 424 for `gpt-4` and `gpt-3.5`. Nonetheless, we emphasize that `gpt-3.5`'s performance as  $L_{\text{interact}}$  is still  
 425 reasonably well, while it is faster and a factor of ten times cheaper. Specifically, the total cost to perform  
 426 the experiments in Table 1 was \$245.6 for `gpt-4` vs. \$19.8 for `gpt-3.5` (which includes the use of  
 427 `gpt-4` for  $L_{\text{improve}}$ ). Our method of incremental learning can thus be seen as a knowledge distillation  
 428 method, with `gpt-4` as the expensive teacher model  $L_{\text{improve}}$  generating task-specific new prompts for  
 429 the cheaper `gpt-3.5` to improve its future behavior as  $L_{\text{interact}}$ .

430 **Comparison with HELPER and Dynamic CaP.** As a key difference to our method, HELPER learns  
 431 from successful trials by storing them as an example, while our method only inspects erroneous experiences  
 432 and then stores improved versions thereof. The experimental results show that this strategy is more effective,  
 433 leading to higher  $s, i$  and lower  $n$ . Furthermore, HELPER cannot see its own previously-generated code  
 434 when responding to errors or feedback, in contrast to our method, which utilizes the interactive Python  
 435 console prompting for this purpose. Thus, HELPER cannot handle feedback such as “slightly more to the  
 436 left” effectively.

437 Dynamic CaP improves performance over plain CaP, but cannot compete with HELPER or our method.  
 438 This confirms that our method of interactive Python console prompting is more effective than producing all  
 439 code to solve the task at once. Furthermore, we can observe that learning from successful trials helps with  
 440 seen instructions by reinforcing correct behavior, but does not transfer to unseen instructions. Note that this  
 441 observation also applies to HELPER, but mainly to  $i$  since HELPER can better respond to execution errors

442 and user feedback than CaP. We conclude that our proposed method to learn from erroneous interactions is  
443 more effective than reinforcing successful behavior only.

444 **Further results.** ?? presents two additional experiments: First, we investigate the effect of  $k$  by setting  
445  $k = 4$  (instead of 16), showing that lower  $k$  comes with a higher  $n$  and lower  $i$ , as potentially relevant  
446 demonstrations might not be retrieved, thus requiring another user interaction. Second, we change the  
447 behavior of  $F_{\text{learn}}$  to just save the current interaction in  $\mathcal{M}$ , skipping  $L_{\text{improve}}$ . This hurts performance, as  
448 the erroneous behavior from previous trials is often repeated, despite the prompt containing improvement  
449 instructions from earlier interactions.

## 5 REAL-WORLD DEMONSTRATION

450 To demonstrate the utility of our proposed prompt-based incremental learning technique, we perform  
451 experiments on the real-world humanoid robot ARMAR-6 (Asfour et al., 2018). We first provide  
452 challenging commands which the LLM initially solves incompletely or wrong. Then, the human  
453 interactively provides feedback and tells the robot how to improve. Afterwards, we not only provide  
454 the same command again to check for improved behavior, but – in order to study generalization – also try  
455 similar commands that initially (i. e., before learning) led to similar mistakes. Details on the implementation  
456 of these experiments, especially on the API exposed to the LLM, can be found in ?. The system is  
457 connected to a memory-centric cognitive robot architecture where the memory mediates between high-level  
458 components and low-level abilities (see Fig. 4). Specifically, the API provided to the LLM allows querying  
459 the robot’s memory with functions to list all objects and location names (opt. with a given affordance),  
460 query subsymbolic coordinates of objects or locations, or retrieve state information about specific objects.  
461 The robot’s memory is filled beforehand by the robot’s perception and cognition components. In our  
462 experiments, we use a mixture of predefined prior knowledge (e.g., about static objects in the scene) and  
463 online perception (e.g. object pose-detection, self-localization). Further, the API allows to invoke registered  
464 skills, behaviors and movements of the robot, such as grasping, navigation, object placement, or handing  
465 objects to a human. However, we do not focus on scenarios where the involved skills themselves fail, but  
466 rather on high-level semantic problems. Please refer to ? for further details.

467 We present three scenarios: *Improving Plans* to demonstrate complex improvement of suboptimal or  
468 unintended performance, *Learning User Preferences* to show how to adapt to non-generic task constraints,  
469 and *Adapting Low-Level Parameters* to demonstrate that our system can learn from vague user instructions.  
470 Demonstration videos can be found at <https://lbaermann.github.io/interactive-incremental-robot-behavior-learning/>.  
471

### 472 5.1 Improving Plans

473 In this scenario, we tell the robot that we want juice. The prior knowledge contains some similar interaction examples, picking  
474 up a single object and handing it over to the human. Thus, the task of bringing the juice is executed successfully. However, since  
475 the user needs a cup to drink, we further instruct the robot “can you also bring me a cup?”, which causes the robot to additionally  
476 hand over a cup. Afterwards, we ask the robot to improve this behavior using “Thanks, but next time, can you do this both at  
477 once? You have two hands”.  $L_{\text{improve}}$  generates an improved interaction example as shown on the right of Listing 1 (simplified,  
478 cf. ?).

479 Afterwards, when giving the same initial command again, the robot uses bimanual behavior to hand over both juice and  
480 cup. Furthermore, the learned bimanuality generalizes to “can you bring something to drink to the table?”, which does not use  
481 handover, but places both objects on the table. Unfortunately, a further test with “can I have some milk, please?” shows the  
482 unimanual behavior again, so we again have to ask for a cup and trigger incremental learning. In the next session, we ask “hey,  
483 can you serve some drink?”, which correctly generalizes the behavior to use both hands to pick up a different drink and cup, but

484 misinterprets “serve” as doing a handover instead of putting it on the table. However, we can successfully trigger learning again  
 485 by teaching “when I say serve, I mean that you should put it on the table”, and subsequent requests do behave as intended.

486 We conclude that our interactive, incremental learning system can flexibly generate complex behavior from concise  
 487 improvement instructions. However, it is still challenging to robustly generalize from a single instruction to all cases a human  
 488 might have intended, as shown by the milk example, where a second correction was necessary for successful generalization.  
 489 Improving this generalization capability should be a focus of future work.

## 490 5.2 Learning User Preferences

491 As shown in Fig. 1, in this scenario we ask the robot to assist with cleaning the top of the fridge. The memory  $\mathcal{M}$  contains  
 492 predefined comparable examples for cleaning the table and kitchen counter, which guide the LLM to only handing over the  
 493 sponge to the human. However, since the top of the fridge is higher than the table or the kitchen counter, we require a ladder  
 494 to reach it so we additionally ask for it (gpt-4 did, in contrast to gpt-3.5, proactively ask whether it should also bring the  
 495 ladder). The robot then successfully places the ladder in front of the fridge. Eventually, we instruct the robot to always bring the  
 496 ladder when working on high surfaces. The generated improved interaction example correctly brings the ladder after the sponge,  
 497 without further request (details in ??). Afterwards, when we perform a similar request (e. g., “clean on top of the dishwasher”),  
 498 the robot brings both the sponge and the ladder successfully, while for lower surfaces (e. g., kitchen counter) the robot still brings  
 499 only the sponge. The behavior also transfers to different tasks than cleaning, e.g. the robot brings the cereals and the ladder on  
 500 “can you get me the cereals, I want to put it in the topmost shelf”, while it does not bring the ladder when tasked with “I want to  
 501 put the cereals into the shelf”.

502 In summary, this example demonstrates that our method can be used to learn task constraints or preferences that a user  
 503 specifies, and this knowledge can be generalized to similar situations.

## 504 5.3 Adapting Low-Level Parameters

505 In this scenario, we ask the robot to bring some object from the table to the workbench (details in ??). Subsequently, we say  
 506 “remember that the route from the table to the bench is safe, you can go faster”.  $F_{\text{learn}}$  correctly generates a sample that adapts  
 507 the numeric speed factor of the `move_to` function on that route. However, if we test the same task afterwards,  $L_{\text{interact}}$  still  
 508 uses the default speed. Annoyed by that, we shout “you forgot that I told you to go faster from the table to the workbench. When  
 509 moving on that route, you should go faster!”, triggering another learning process, generating another correct sample, including  
 510 an explicit comment:

```
...
>>> grasp('sponge_0')
'success'
>>> # The user earlier asked me to move faster from the
    # table to the workbench, so let's do that
... move_to(get_location_coordinates('workbench_0'),
           speed_factor=2.0)
'success'
>>> place_object('sponge_0', 'workbench_0')
...
```

511 Proceeding requests now behave correctly and increase the speed from the table to the workbench. However, an adversarial test  
 512 shows that  $L_{\text{interact}}$  does now dangerously use increased speed from another location to the workbench, too, while routes to  
 513 different places still correctly use the default speed.

514 To conclude, our system can successfully learn to adapt low-level API parameters as requested by a user, but ensuring the  
 515 LLM applies learned knowledge in the intended context only is not fully solved yet.



## 6 CONCLUSION & DISCUSSION

516 We present a system for integrating an LLM as the central part of high-level orchestration of a robot's behavior in a closed  
517 interaction loop. Memorizing interaction examples from experience and retrieving them based on the similarity to the current  
518 user request allows for dynamic construction of prompts and enables the robot to incrementally learn from mistakes by extending  
519 its episodic memory with interactively improved code snippets. We describe our implementation of the system in the robot  
520 software framework ArmarX (Vahrenkamp et al., 2015) as well as on the humanoid robot ARMAR-6 (Asfour et al., 2018). The  
521 usefulness of our approach is evaluated both quantitatively on the tasks from Code as Policies (Ahn et al., 2022) and qualitatively  
522 on a humanoid robot in the real world.

523 While the proposed method, in particular the incremental prompt learning strategy, shows promising results, there are still  
524 many open questions for real-world deployment. First of all, the performance of LLMs is quite sensitive to wording in the  
525 prompt, thus sometimes leading to unpredictable behavior despite only slight variations of the input (e. g., adding "please"  
526 in the user command). This might be solved with more advanced models in the future, as we did observe this issue much  
527 more often with GPT-3.5 than with GPT-4. Investigating the effect and performance of example retrieval in dynamic prompt  
528 construction might also contribute to improving robustness. Furthermore, our incremental prompt learning strategy should be  
529 expanded to involve additional human feedback before saving (potentially wrong) interaction examples to the episodic memory.  
530 However, this is challenging to accomplish if the user is not familiar with robotics or programming languages. One possible  
531 approach would be to verbalize the improved interaction example using an LLM, present it to the user, and ask for confirmation.  
532 Similarly, the improved code could first be executed in a simulation environment to check its validity before saving it in the  
533 memory of interaction examples. Both approaches have some open challenges, such as ensuring correctness of the verbalization  
534 or accuracy of the simulation, as there will be a large sim-to-real gap for the type of behaviors considered in our paper. To  
535 rigorously evaluate our incremental learning method in the real world, future work may want to incorporate a user study with  
536 non-technical participants. Further work should also focus on abstraction of similar and forgetting of irrelevant learned behavior.  
537 While our system is limited by the APIs exposed to the LLM, it could be combined with complementary approaches (Parakh  
538 et al., 2023) to support learning of new low-level skills, which would then be exposed through new functions added to the API.  
539 Furthermore, designing an API that enables robust yet flexible interactions is a challenge that should be considered in future  
540 work. In particular, providing the LLM access to subsymbolic parameters (such as positions to navigate to) enables fine-grained  
541 user corrections ("move a little more to the left"), but can significantly harden the task for the LLM and entails many more  
542 failure cases. Moreover, although we provide the LLM with access to perception functions and examples of how to use them, it  
543 sometimes comes up with non-grounded behavior (e. g., referring to non-existing objects or locations). This may be improved  
544 by adding further levels of feedback to the LLM, or using strategies like Grounded Decoding (Huang et al., 2023). Finally,  
545 our system inherits biases and other flaws from its LLM (Bender et al., 2021), which may lead to problematic utterances and  
546 behaviors. In future work, we will try to address some of these challenging questions to further push the boundaries of natural,  
547 real-world interactions with humanoid robots.

## CONFLICT OF INTEREST STATEMENT

548 The authors declare that the research was conducted in the absence of any commercial or financial  
549 relationships that could be construed as a potential conflict of interest.

## AUTHOR CONTRIBUTIONS

550 LB developed the methods and their implementation and performed the evaluation experiments. LB, RK  
551 and FP implemented and performed the real-world experiments. The entire work was conceptualized by  
552 LB, TA and AW and supervised by TA and AW. JN made important suggestions for the experimental  
553 methodology and reviewed the manuscript. The initial draft of the manuscript was written by LB and

554 revised jointly by LB, RK, FP and TA. All authors listed have made a substantial, direct, and intellectual  
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